

## **CABINET MEMBER FOR ADULT SOCIAL CARE**

**Venue: Town Hall,  
Moorgate Street,  
Rotherham S60 2RB**

**Date: Monday, 27th June, 2011**

**Time: 10.00 a.m.**

### **A G E N D A**

1. To determine if the following matters are to be considered under the categories suggested in accordance with the Local Government Act 1972
2. To determine any item which the Chairman is of the opinion should be considered later in the agenda as a matter of urgency
3. Apologies for Absence
4. Minutes of meeting held on 13th June, 2011 (Pages 1 - 6)
5. Adult Social Care 4th Quarter Performance (Pages 7 - 10)
6. Future Challenges to the NHS (Pages 11 - 20)  
- Chris Edwards, Chief Operating Officer, NHS Rotherham
7. Exclusion of the Press and Public  
The following item is likely to be considered in the absence of the press and public as being exempt under Paragraph 4 of Part 1 of Schedule 12A to the Local Government Act 1972 (as amended March 2006) (information relating to any consultations or negotiations).
8. 2011 Public Health Annual Report (Pages 21 - 60)

**CABINET MEMBER FOR ADULT INDEPENDENCE HEALTH AND WELLBEING  
Monday, 13th June, 2011**

Present:- Councillor Doyle (in the Chair); Councillors Gosling, Jack and P. A. Russell.

Apologies for absence were received from Councillors Steele and Walker.

**H81. MINUTES OF MEETING HELD ON 26TH APRIL, 2011**

Consideration was given to the minutes of the previous meeting held on 26<sup>th</sup> April, 2011.

Resolved:- That the minutes of the previous meeting held on 26<sup>th</sup> April, 2011, be approved as a correct record.

**H82. ADULT SERVICES REVENUE OUTTURN 2010/11**

Mark Scarrott, Finance Manager (Adult Services) presented the submitted report relating to the Revenue Outturn position for Adult Services Department within the Neighbourhood and Adult Services Directorate for the financial year 2010/11.

It was reported that the 2010/11 approved cash limited budget was £72,336,065, and the net Outturn for the Service for 2010/11 was an underspend of £988,751 (variation of -1.37%).

In real terms, the outturn before the adjustments resulted in the Directorate, as a whole, achieving an underspend of £2.219M which had contributed to addressing the significant budget pressures across the wider Council.

Members' attention was drawn to the following reasons for the current position:-

- overachievement in the savings associated with the merger of the Wardens and Care Enablers Service
- higher than anticipated response to voluntary severance
- holding vacancies to facilitate redeployment of staff in support of the various structural reviews
- increasing numbers of residential care clients now receiving full funding through continuing health care

A summary revenue outturn position for Adult Social Services was given in the table within the submitted report.

Appendix 1 to the submitted report detailed the revenue outturn 2010/11 and the reasons for variance from approved budgets. The following key variations were highlighted:-

**Commissioning and Partnerships**

- budget pressures on a number of posts, offset by the non-recruitment to vacant posts due to the Council-wide review of Commissioning and

- Performance during the year
- general moratorium on non-essential non-pay budgets
- maximising the use of available grant funding.

### **Assessment & Care Management**

#### Older People (Independent)

- vacant social work posts
- the Intermediate Care pooled budget - slippage on employee costs
- fewer placements than planned in the independent sector residential and nursing care
- additional income from health and increased income from property charges
- increase in average weekly hours during the year in the independent sector Home Care budget

#### Physical and Sensory Disabilities

- continued increase in both number of clients receiving Direct Payments an increase in both hours and cost of independent sector home care
- underspend on residential and nursing care including delayed implementation of specialist respite care together with efficiency savings on voluntary sector contracts

#### Safeguarding

- vacant posts during the year ,including slippage due to difficulties in recruiting

### **Independent Living**

- vacant posts and additional income from charges for Rothercare
- short term vacancies within Extra Care Housing and Grafton House

### **Health and Well Being**

#### Older People (In-House)

- In-House Home Care services - additional agency costs to cover sickness during the year plus a recurrent budget pressure on income from charges
- underspend on employees within Extra Care Housing together with increased income from additional services and an underspend on vehicle running and leasing costs against the budget for Adult Services Transport Unit

#### Learning Disabilities

- additional income from charges within Supported Living Scheme
- vacancies within Community Support Schemes
- increased income from Continuing Health Care funding within Residential and Nursing Care
- underspends within Direct Payments (-£60k) due to demand less than forecast
- vacant posts within Management and Support

Mental Health Services

- increase in uptake on Direct Payments, an additional 60 clients receiving a service during the year
- underspend in residential and nursing care due to less placements than planned plus additional income from health
- further achievement of a number of efficiency savings after reviewing a number of Service Level Agreements with independent and voluntary sector providers

Members present raised and discussed:-

- the changing demographic profile
- the need for the council and NHS to develop further ways of working more closely together, including sharing information
- risks to the Council
- impact of the savings on clients
- the importance of prevention and the quality of service
- use of assistive technology

Resolved:- (1) That the unaudited 2010/11 Revenue Outturn report for Adult Services be received and noted.

(2) That staff be thanked for their efforts to produce and implement the savings identified.

**H83. ADULTS CAPITAL EXPENDITURE OUTTURN 2010/11**

Mark Scarrott, Finance Manager (Adult Services) presented the submitted report informing Members of the Capital Outturn against approved budget for Adult Services for the 2010/11 financial year.

It was reported that the Capital Outturn for Adult Services for the financial year 2010/11 was £459,785 against an approved budget of £800,600, resulting in an overall underspend of £340,815.

It was explained that the main variations from budget were delays in implementation of the Electronic Home Care Scheduling System (EHCSS) and slippage on the development of a number of IT projects into 2011/12.

A brief summary of the Outturn position for each project was set out in the submitted report.

Members' attention was drawn to the following:-

Older People

- completion of the landscaping works at the two residential care homes
- health funding for Assistive Technology committed during the year with the balance carried forward to meet future commitments
- residential care – improving the environment within the two residential care homes

Adult Services – Learning Disabilities

- refurbishment at Addison Day Centre and at Treefields Close Respite Unit

Adult Services – Mental Health

- developing revised models for providing Supported Living Services and Joint Services with the voluntary sector
- purchase of equipment from EMI clients within the two in-house residential care homes
- development of spending plans re: Intensive Supported Living schemes; the use of Telecare/Assistive Technology solutions; the development of Dementia Cafes; the development of services for people under 65 years with dementia; user-led service developments to assist people into employment; support for capital developments around Direct Payments.

Management Information

- The Social Care IT Infrastructure grant used during to develop further the Adults Integrated Solution and the development of Electronic Social Care Records.
- Introduction in 2010/11 of a new Capital Grant to assist councils in transforming Adult Social Care. Spending plans had been identified including improvements to systems for Direct Payments working closely with RBT and the development of an e-market place.

General

- delays in implementation of Electronic Home Care Scheduling System

Members raised and discussed the following:-

- environmental improvements re: residential care
- staff awareness of the use of assistive technology

Resolved:- That the unaudited 2010/11 Capital Outturn report for Adult Services be received and noted.

**THE CABINET MEMBER AUTHORISED CONSIDERATION OF THE FOLLOWING EXTRA ITEM IN ORDER TO KEEP MEMBERS ADVISED OF THE CURRENT SITUATION:-**

**H84. SOUTHERN CROSS.**

Members requested an update on the current situation regarding Southern Cross homes in Rotherham.

The Director of Health and Wellbeing provided an outline of the actions that were being taken in respect of (a) monitoring (b) engaging with Southern Cross and councils regionally (c) contingency planning.

There was not felt to be any immediate risk of change occurring in Rotherham, but the situation was being monitored weekly.

**H85. EXCLUSION OF THE PRESS AND PUBLIC**

Resolved:- That, under Section 100A(4) of the Local Government Act 1972, the press and public be excluded from the meeting for the following items of business on the grounds that they involve the likely disclosure of exempt information as defined in Paragraph 3 of Part 1 of Schedule 12A to the Local Government Act 1972 (as amended March 2006) (financial/business affairs).

**H86. SUPPORTING PEOPLE PROGRAMME - AWARD OF FLOATING SUPPORT CONTRACT**

The Director of Health and Wellbeing spoke to the submitted a report which detailed the procurement process and subsequent evaluation undertaken for EU Classified Annex 2b services to provide housing-related and preventative support.

Minute No. H73 of 21<sup>st</sup> December, 2009, had previously approved the tender process for the floating support contracts which were for an initial period of 3 years. There was an option to extend for 1 year with an opportunity to review finances on an annual basis with a break clause where appropriate.

It was reported that the process had adhered to all EU Regulations as well as the Council's Standing Orders and Financial Regulations.

It was confirmed that the preferred contractors had previous experience in providing services to the client group in question with a good performance and quality service record.

Resolved:- That the awarding of the tender, as detailed in the report now submitted, be approved.

(Exempt under Paragraph 3 of the Act – information relating to the financial or business affairs of any particular individual (including the Council))

**H87. MENTAL CAPACITY ACT 2005: DEPRIVATION OF LIBERTY SAFEGUARDS**

The Director of Health and Wellbeing submitted a briefing paper, previously presented to the Safeguarding Adults Board, relating to a Court of Protection case that had implications for Supervisory Bodies (local authorities and PCTs) and other agencies with a statutory duty placed upon them by the Mental Capacity Act 2005 and supplementary Deprivation of Liberty Safeguards.

The report outlined the concerns and a number of issues that had arisen for local authorities. The Director of Health and Wellbeing provided an update on the actions taken in Rotherham.

Resolved:- That it be noted that the Directorate had reviewed its processes and policies as a result of this case and that some changes had been made to ensure that service users were safeguarded.

(Exempt under Paragraph 3 of the Act – information relating to the financial or business affairs of any particular individual (including the Council))

**THE CABINET MEMBER AUTHORISED CONSIDERATION OF THE FOLLOWING ITEM**

**DUE TO ITS POTENTIAL SERIOUSNESS:-**

**H88. UNEXPECTED DEATH OF A SERVICE USER**

A tragic incident was reported whereby a service user had been found dead after informing the local authority that warden service would not be required due to going on holiday.

The Director of Health and Wellbeing updated Members present in respect of the on-going investigations.

<b>ROTHERHAM BOROUGH COUNCIL – REPORT TO MEMBERS</b>
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<b>1.</b>	<b>Meeting:</b>	<b>Cabinet Member for Adult Social Care</b>
<b>2.</b>	<b>Date:</b>	<b>27th June 2011</b>
<b>3.</b>	<b>Title:</b>	<b>Adult Social Care 4<sup>th</sup> Quarter (January to March) performance report for 2010/11</b>  <b>All Wards Affected</b>
<b>4.</b>	<b>Programme Area:</b>	<b>Neighbourhoods and Adult Services</b>

**5. Summary**

This report outlines the 2010/11 Quarter 4 Key Performance Indicator (KPI) results for the Adult Social Care elements of the Directorate. Twelve (12) KPIs are included in the suite. Of these, at the end of Quarter 4, 75% (9) achieved their targets.

**6. Recommendations**

**That Cabinet Member is asked to note the year end performance results.**



## 7. Proposals and Details

Quarter 4 results can be seen in Appendix 'A' where a star indicates 'on target' and a triangle indicates 'off target'.

The following 9 performance measures have achieved their Quarter 2 targets;

- NI 136 People Supported to Live Independently – achieved 2882 against a target of 2800. This has **improved** from 2358 in 2009/10 and is rated **next to bottom quartile** for all England and our comparator group which represents an improvement of one quartile from last year
- NI141 Vulnerable people achieving independent living – achieved 89.13% against a target of 85%. This has **improved** from 88.17% in 2009/10.
- NAS1 Reviews - achieved 87.32% against a target of 87%. This has **improved** from 82.50% in 2009/10 and is rated in **top quartile** for all England and for our comparator group.
- NAS18 People receiving a statement of need – achieved 98.28% against a target of 98%. This has **improved** from 96.21% in 2009/10.
- NI 125 Intermediate Care - achieved 85.00% against a target of 85%. This has **improved** from 84.17% in 2009/10 and is rated in **next to top quartile** for all England and in **top quartile** for our comparator group.
- NI 130 Self Directed Support - achieved 50.31% against a target of 50%. This has **improved** from 8.62% in 2009/10 and we believe this will be rated in **top quartile** when benchmarking data is made available.
- NI 135 Carers Services - achieved 31.80% against a target of 30%. This has **improved** from 29.61% in 2009/10 and is rated **top quartile** for all England and **next to top quartile** for our comparator group.
- NI 145 LD Clients in Settled Accommodation - achieved 72.29% against a target of 72%. This has **improved** from 72.38% in 2009/10 and is rated **top quartile** for all England and **next to top quartile** for our comparator group.
- NAS 46 Safeguarding cases substantiated – achieved 93.1% against a target of 75%. This has **improved** from 61.11% in 2009/10.

The following 3 performance measures did not achieve their Quarter 3 targets;

- NI 132 Timeliness of social care assessments
  - Year end performance is 81.89% against a target of 90%.
  - This has **improved** from 79.98% at the end of February and has **improved** compared to the 2009/10 baseline (80.71%)
  - Compared with 2009/10 benchmarking data this is rated **next to bottom quartile** for all England and for our comparator group. Top quartile performance for our comparator group is 86.70%.
  - The introduction of OT activity had the biggest impact on the figures and is the reason for performance being **off target**. OT performance improved during quarter 4 but not in time to enable us to reach the year end target. Without including OT, performance would have been 90.81% and rated **top quartile**.
  - For 2011/12 there are plans to align the OT service with the social work teams and performance will be closely monitored on a weekly basis. This will ensure that all service users are seen within timescale.
  
- NI 133 Waiting times for care packages
  - Year end performance is 94.50% against a target of 96%.
  - This has **improved** from 92.05% at the end of February and has **improved** compared to the 2009/10 baseline (94.23%)
  - Compared with 2009/10 benchmarking data this is rated in **top quartile** for all England (94%) and for our comparator group (92.18%).
  
- NI146 LD Clients in Employment
  - Current performance for March is 3.94% against a stretch target of 6.26%.
  - This has **improved** from 3.77% at the end of February but has **deteriorated** compared to the 2009/10 baseline (5.58%).
  - Compared with 2009/10 benchmarking data this is rated **bottom quartile** for all England and **next to bottom quartile** for our comparator group. Top quartile performance for our comparator group is 5.50% although this is expected to reduce when 2010/11 data is published.
  - Performance has deteriorated because some people included in last year's score have since lost their employment. The largest impact has been job losses at Speakup self advocacy. The current target was set with the expectation existing people staying in employment and capturing an additional 6 people new in employment this year.

### Background Papers and Consultation

The report has been discussed with Neighbourhoods and Adult Services Directorate Management Team. Appendix 'A' contains the performance results for the fourth quarter of 2010/11. The indicators rated 'on target' are shown as a star and those that are rated 'off target' are shown as a triangle.

Contact Names:

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# Appendix A - Neighbourhoods and Adult Services - Social Care Indicator Outturns for Mar '11 (Quarter 4)

## Key to symbols

▲	indicator rated 'off target'	B	Banding
★	indicator rated 'on target'	Q	Quartile
↑✓	indicator has improved	Q1	is worst
↓✗	indicator has deteriorated	Q4	is best

RAG Status		▲	★
No. of indicators		7.	10.
Percentage		41. %	59. %

## Commissioning and Partnerships (Chrissy Wright)

Line no	RAG Status	Measure	Good Performance	2009/10 Baseline	Jan '11 Result	Feb '11 Result	2010/11 Year End Result	2009/10 Baseline	D.o.T. from same time last year	2010/11 Target	Joint Indicator	Responsible Manager	Outcomes Framework
1.	★	NI 136 (Vital Signs 03) People supported to live independently through social services (LAA)	Higher is better	2358.33	2917.09	2854.41	<b>2882</b>	2358	↑✓	2800	RDASH/VCS	Dave Roddis	2
2.	★	NI 141 Percentage of vulnerable people achieving independent living (LAA)	Higher is better	88.17%	88.61%	N/A	<b>89.13%</b>	88.17%	↑✓	85%	Supporting People	Janine Parkin	2

## Health and Well Being / Assessment and Care Management (Shona McFarlane)

Line no	RAG Status	Measure	Good Performance	2009/10 Baseline	Jan '11 Result	Feb '11 Result	2010/11 Year End Result	2009/10 Baseline	D.o.T. from same time last year	2010/11 Target	Joint Indicator	Responsible Manager	Outcomes Framework
3.	▲	NI 132 (Vital Signs 12) Timeliness of social care assessment (all adults)	Higher is better	80.71%	77.6%	79.98%	<b>81.89%</b>	80.71%	↑✓	90%	RDASH	Mark Joynes	4
4.	▲	NI 133 (Vital Signs 13) Acceptable waiting times for care packages (now includes 18-64 age group)	Higher is better	94.23%	92.05%	92.05%	<b>94.5%</b>	94.23%	↑✓	96%	RDASH	Mark Joynes	4
5.	▲	NI 146 (Vital Signs 07) Adults with learning disabilities in employment	Higher is better	5.58%	3.11%	3.77%	<b>3.94%</b>	5.58%	↓✗	6.26%	No	Jackie Bickerstaffe	8
6.	★	NAS 1 (PAF D40) Percentage of clients receiving a review	Higher is better, 75<=100 is best	82.5%	63.22%	72.96%	<b>87.32%</b>	82.5%	↑✓	87%	RDASH	Lucy Pullen	1
7.	★	NAS 18 (PAF D39) Percentage of people receiving a statement of needs	Higher is better, 100 is best	96.21%	87.51%	96.97%	<b>98.28%</b>	96.21%	↑✓	98%	RDASH	Cheryle Cartwright	4
8.	★	NI 125 (Vital Signs 04) Achieving independence for older people through rehabilitation / intermediate care	Higher is better	84.17%	67.74%	67.53%	<b>85%</b>	84.17%	↑✓	85%	NHS Rotherham	David Stevenson	2
9.	★	NI 130 New Definition (Vital Signs 17) Social care clients receiving Self Directed Support	Higher is better	8.62%	33.56%	40.88%	<b>50.31%</b>	8.62%	↑✓	50%	RDASH	Cheryle Cartwright	4
10.	★	NI 135 (Vital Signs 18) Carers receiving needs assessment or review and a specific carers service, or advice and information (LAA)	Higher is better	29.61%	21.69%	25.71%	<b>31.8%</b>	29.61%	↑✓	30%	RDASH	Mark Joynes	2
11.	★	NI 145 (Vital Signs 05) Adults with learning disabilities in settled accommodation	Higher is better	72.38%	50.99%	57.82%	<b>72.29%</b>	72.38%	↓✗	72%	Supporting People	Jackie Bickerstaffe	2
12.	★	NAS 46 Percentage of safeguarding cases substantiated at case conference	Higher is better	61.11%	92.11%	92.94%	<b>93.1%</b>	61.11%	↑✓	75%		Julie Whincup	7

# Future Challenges to the NHS – What do they mean to Rotherham?

**Chris Edwards – Chief Operating Officer  
NHS Rotherham**

Better Health,  
**Better Lives**

# National Picture

- Commissioning by GPs
- Requirement to reduce NHS Rotherham workforce by 45%
- Responsibility for Health Improvement to transfer to RMBC and Public Health England to lead on Public Health
- Major ‘listening exercise’ reports next week



# South Yorkshire Picture

Cluster of commissioners formed to help NHS Rotherham manage the transition;

- Rotherham
- Sheffield
- Barnsley
- Doncaster
- Bassetlaw

# Timeframe

## April 2012

- Health Watch established (group to ensure views of patients and carers are represented)
- Health and Wellbeing Boards established
- Shadow GP Commissioning Consortia

## April 2013

- NHS Rotherham abolished
- GP Consortia and other healthcare professionals take up most commissioning responsibilities
- NHS Commissioning Board takes on responsibility for primary care services and specialised services
- Local Authorities responsible for health improvement

# The Current Position of the NHS in Rotherham

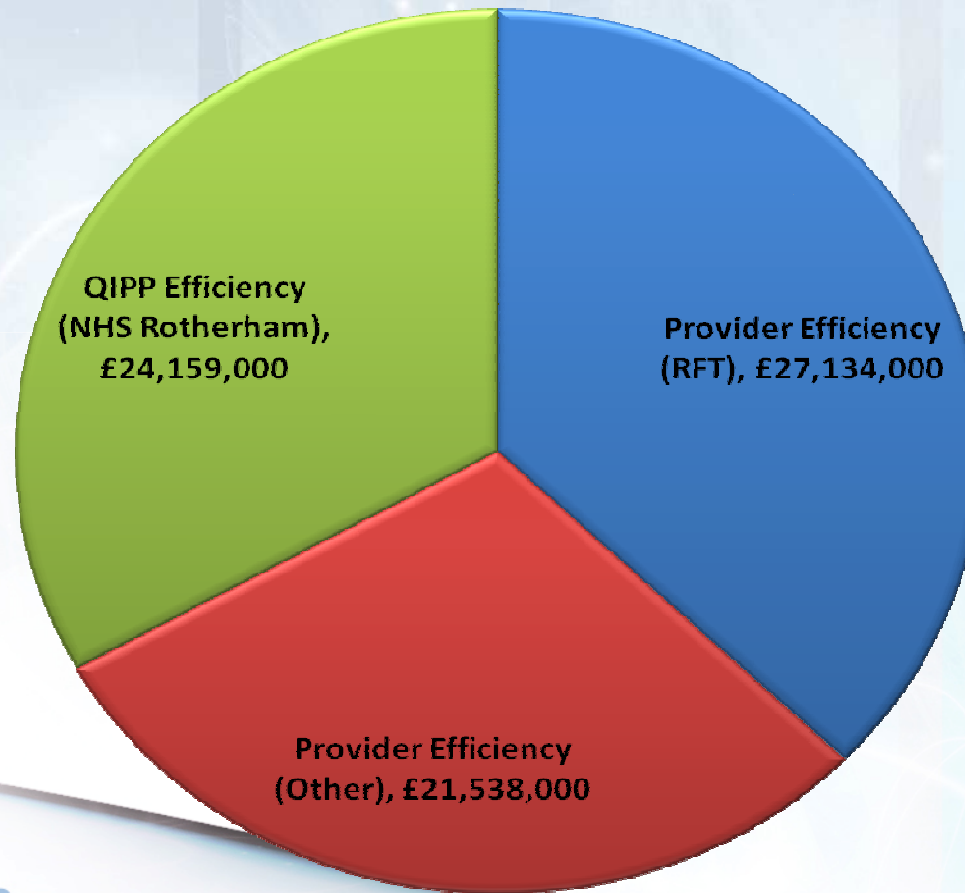
The next four years will be extremely challenging. However NHS Rotherham has a strong starting position, with:

- A sound financial position – no historical debts
- All major targets on track
- Transfer of Community Services completed 1st April 2011
- Good progress to 45% reduction in workforce
- All local providers are Foundation Trusts
- Positive engagement from GPs



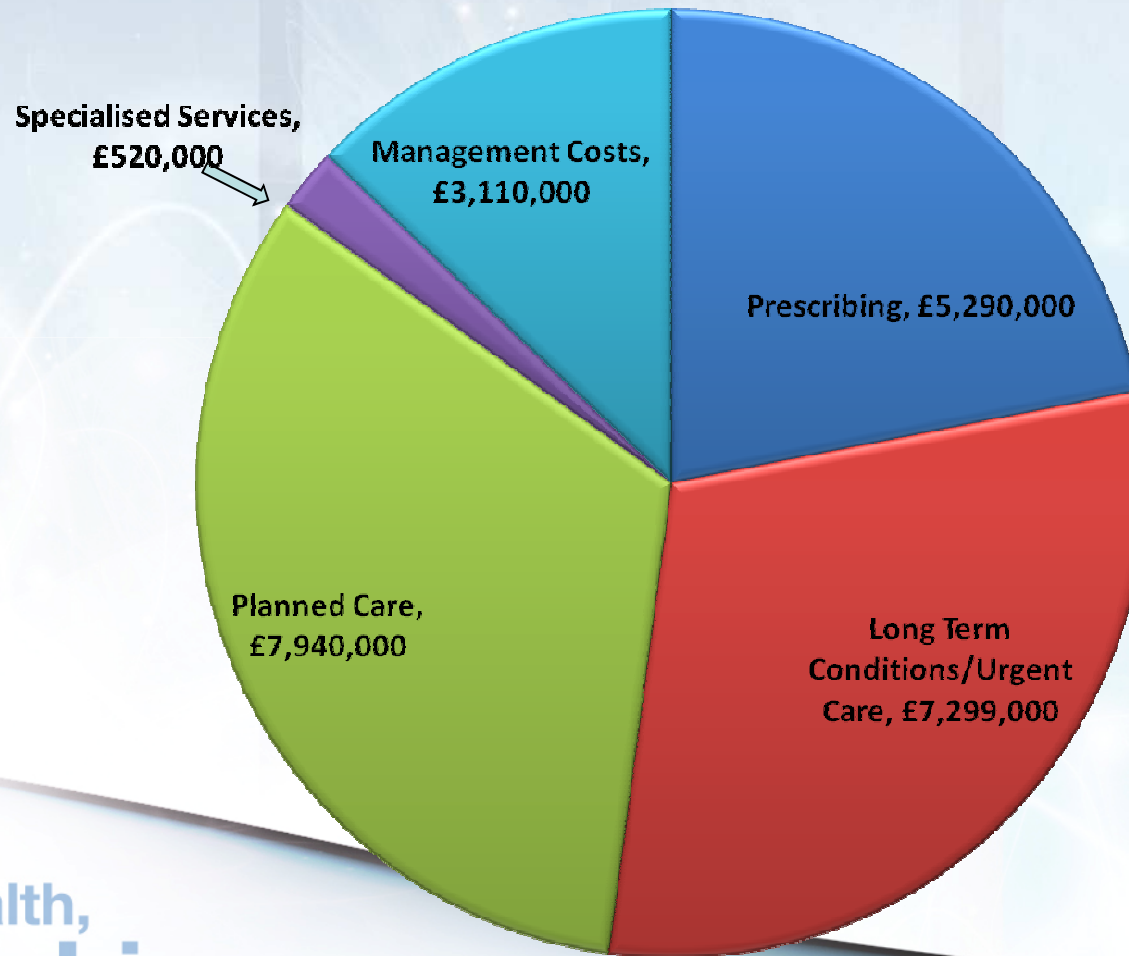
# Efficiency Programmes

Breakdown of £72.8 million Total System Efficiency Challenges for the Rotherham health system over the next 4 years



# Local Efficiency Programmes

Breakdown of £24.2 million Efficiency Programmes for NHS Rotherham



# NHS Rotherham Priorities

## Performance

Continue to ensure services are safe, that quality is improving and that financial/performance targets are met

## Improvement

Continue to implement our Strategic Plan, *Better Health, Better Lives*, focusing on the things that will make health and health services better in Rotherham

## Efficiency

Deliver the programmes that will ensure that we do not make unplanned cuts to services (efficiency programmes covered further later)

# Corporate Priorities

## Transition

Continue to work with;

- GPs to manage the transition to GP commissioning;
- SY & Bassetlaw Cluster to achieve effective transfer of commissioning responsibilities;
- RMBC and PH England to manage the implications of the PH White Paper;
- partners and stakeholders to ensure they are fully engaged with transitions.



**Any questions?**

Better Health,  
**Better Lives**

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